

## i-Securities Services Guide – Trade

Log on “Trade”

The screenshot displays the DAH SING BANK i-Securities Services Guide interface. At the top, there is a navigation bar with links for Logout, Back to e-banking, Print, Contact Us, and 繁體. Below this is a Market Info section showing HSI, FHSI, SZEA, and other indices. The main area is divided into several sections: IQ Streaming, Stock Portfolio, and a central 'Trade' button highlighted with a callout. To the right of the 'Trade' button is a Hang Seng Index chart and a 'Login Setting' section. Below the 'Trade' button is a 'Cost Calculator' button highlighted with a callout. The interface also includes a navigation menu on the left with options like Stock Trading, Order Status, Stock Portfolio, Account Information, Transaction History, Order History, Cash Movement, Securities Movement, Cost Calculator, and Exchange Rates. At the bottom, there is a footer with a disclaimer and links for Exchange Rates and Cost Calculator.

1. Log on to the i-Securities Services
2. Select “Trade” to enjoy the stock quote services\* and conduct online securities trading
3. Click “Cost Calculator” to calculate the purchase or sales amount and associated charges

\* To enjoy the Online Real Time Stock Quotes Services, customers have to subscribe to the relevant service plan. For details, please refer to “Customer Services” demo.

## Placing a Buy/Sell Order of Hong Kong Share

▶ Stock Trading	<b>Stock Trading</b>	
▶ Order Status	Account Number:	
▶ Stock Portfolio	Market: <span style="border: 1px solid black; padding: 2px;">Hong Kong</span>	
▶ Account Information	Stock Code: <span style="border: 1px solid black; padding: 2px;">00440</span> DAH SING	<input checked="" type="radio"/> Buy <input type="radio"/> Sell <input type="radio"/> Stop Loss <a href="#">What is Stop Loss Order?</a>
▶ Transaction History	Quantity: <span style="border: 1px solid black; padding: 2px;">400</span> Shares	Board Lot: <span style="border: 1px solid black; padding: 2px;">400</span> Shares
▶ Order History	Order Price: <span style="border: 1px solid black; padding: 2px;">HKD 45</span>	Order Type: <span style="border: 1px solid black; padding: 2px;">Enhanced Limit Order</span> <a href="#">What is it?</a>
▶ Cash Movement	<input type="checkbox"/> Order price out of 24 spreads	
▶ Securities Movement	Good Till: <span style="border: 1px solid black; padding: 2px;">2018-11-20</span>	
▶ Cost Calculator		
▶ Exchange Rates		
<input type="button" value="Cancel"/> <input type="button" value="Continue"/>		

1. Input the stock code that you want to buy or sell
2. Select “Buy” / “Sell”, then input the order price and order quantity
3. Select the Order Type
  - Limit Order
  - Special Limit Order
  - Enhanced Limit Order
  - At-auction Limit Order

(For the details of Order Type, please refer to the “FAQs”)

4. Input Good Till Period (Good Till Period can be set for three trading days at maximum. If the order which is valid for more than one trading day is not fully executed, cancelled or rejected on a trading day during the Good Till Period, the outstanding part of the order will be automatically carried forward to next trading day until the end of the Good Till Period.)
  5. Click “Continue”
- If the order price is out of 24 spreads compared with the current price or the order includes odd lot:
6. A reminder message will be shown upon clicking “Continue”
  7. Click the relevant check box for confirmation (not applicable to odd lot)
  8. Click “Continue”



▶ Stock Trading	<b>Order Confirmation</b>	
▶ Order Status	Account Number:	
▶ Stock Portfolio	Buy / Sell: <b>Buy</b>	Order Type: Enhanced Limit Order
▶ Account Information	Stock Code: 00440	Stock Name: DAH SING
▶ Transaction History	Board Lot Quantity: 400 Shares	Order Price: HKD 45.000 Order price out of 24 spreads
▶ Order History	Good Till: 2018-11-20	Market: Hong Kong
▶ Cash Movement	Est. Amount: HKD 18,109.39	
▶ Securities Movement	Est. Charges: HKD 109.39 (Including Brokerage: HKD 90.00; Transaction Levy: HKD 0.49; Trading Fee: HKD 0.90; Stamp Duty: HKD 18.00)	
▶ Cost Calculator	By clicking the “Confirm” button, I/we confirm that I/we have read and understand the relevant <a href="#">terms and conditions</a> and agree to be bound by it.	
▶ Exchange Rates	<input type="button" value="Cancel"/> <input type="button" value="Modify"/> <input type="button" value="Confirm"/>	

1. Check the order details
2. Click “Confirm”



▶ Stock Trading	<b>Order Acknowledgement</b>	
▶ Order Status	Account Number:	
▶ Stock Portfolio	Order received, please go to “Order Status” for status enquiry.	
▶ Account Information	<input type="button" value="Stock Trading"/> <input type="button" value="Order Status"/>	
▶ Transaction History		
▶ Order History		
▶ Cash Movement		
▶ Securities Movement		
▶ Cost Calculator		
▶ Exchange Rates		

Order received, you may click “Stock Trading” to place another order or click “Order Status” to enquire the latest order status and Order ID

## Stop Loss Order of Hong Kong Share

▶ Stock Trading	<b>Stock Trading</b>		
▶ Order Status	Account Number:		
▶ Stock Portfolio	Market	Hong Kong	
▶ Account Information	Stock Code	440 DAH SING	<input type="radio"/> Buy <input checked="" type="radio"/> Sell <a href="#">What is Stop Loss Order?</a>
▶ Transaction History	Quantity	400 Shares	Board Lot 400 Shares
▶ Order History	Stop Loss Price	HKD 45	
▶ Cash Movement		Must be lower than current nominal price	
▶ Securities Movement	Lowest Selling Price	HKD 44.9	Order Type Stop Loss Order
▶ Cost Calculator		Must be equal to or lower than Stop Loss Price but within 6 spreads	
▶ Exchange Rates		Order price out of 24 spreads	
	Good Till	2018-11-20	
When the current nominal price of the stock drops to or below your preset Stop Loss Price in Continuous Trading Session, your Stop Loss Order will be triggered and placed to market as an Enhanced Limit Order for execution. Stop Loss Order will not be executed at the price below your specified Lowest Selling Price. The Stop Loss Order may be rejected if the current BID price of the stock is above the Lowest Selling Price to a certain extent (according to trading mechanism of HKEX).			
<input type="button" value="Cancel"/> <input type="button" value="Continue"/>			

1. Select “Stop Loss”
  2. Input the related Stock Code, Order Quantity, Stop Loss Price and Lowest Selling Price. (Once the stock price drops to or below your preset Stop Loss Price in Continuous Trading Session, your Stop Loss Order will be triggered and placed to market as an Enhanced Limit Order for execution. The executed price may be better than, or equal to your Lowest Selling Price. The Stop Loss Order will not be executed at the price below the specified Lowest Selling Price.)
  3. Input Good Till Period. Good Till Period can be set for three trading days at maximum. (If the order which is valid for more than one trading day is not fully executed, cancelled or rejected on a trading day during the Good Till Period, the outstanding part of the order will be automatically carried forward to next trading day until the end of the Good Till Period. Once triggered, the order will lapse at the end of that trading day and will not be carried forward to next trading day regardless of whether the order is fully executed or not.)
  4. Click “Continue”
- If the order price is out of 24 spreads compared with the current price:
5. A reminder message will be shown upon clicking “Continue”
  6. Click the relevant check box for confirmation
  7. Click “Continue”



▶ Stock Trading	<b>Order Confirmation</b>		
▶ Order Status	Account Number:		
▶ Stock Portfolio	Buy / Sell	Sell	Order Type Stop Loss Order
▶ Account Information	Stock Code	00440	Stock Name DAH SING
▶ Transaction History			Stop Loss Price HKD 45.000
▶ Order History	Board Lot Quantity	400 Shares	Lowest Selling Price HKD 44.900 Order price out of 24 spreads
▶ Cash Movement	Good Till	2018-11-20	Market Hong Kong
▶ Securities Movement	Est. Amount	HKD 17,850.62	
▶ Cost Calculator	Est. Charges	HKD 109.38 (Including Brokerage: HKD 90.00; Transaction Levy: HKD 0.48; Trading Fee: HKD 0.90; Stamp Duty: HKD 18.00)	
▶ Exchange Rates	By clicking the “Confirm” button, I/we confirm that I/we have read and understand the relevant <a href="#">terms and conditions</a> and agree to be bound by it.		
<input type="button" value="Cancel"/> <input type="button" value="Modify"/> <input type="button" value="Confirm"/>			

1. Check the order details
2. Click “Confirm”



▶ Stock Trading	<b>Order Acknowledgement</b>		
▶ Order Status	Account Number:		
▶ Stock Portfolio	Order received, please go to “Order Status” for status enquiry.		
▶ Account Information	<input type="button" value="Stock Trading"/> <input type="button" value="Order Status"/>		
▶ Transaction History			
▶ Order History			
▶ Cash Movement			
▶ Securities Movement			
▶ Cost Calculator			
▶ Exchange Rates			

Order received, you may click “Stock Trading” to place another order or click “Order Status” to enquire the latest order status and Order ID

## Placing a Buy/Sell Order of Shanghai/Shenzhen A Share

<ul style="list-style-type: none"> <li>▶ Stock Trading</li> <li>▶ Order Status</li> <li>▶ Stock Portfolio</li> <li>▶ Account Information</li> <li>▶ Transaction History</li> <li>▶ Order History</li> <li>▶ Cash Movement</li> <li>▶ Securities Movement</li> <li>▶ Cost Calculator</li> <li>▶ Exchange Rates</li> </ul>	<b>Stock Trading</b> Account Number: _____ <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Market</td> <td style="width: 30%;">Shanghai A</td> <td style="width: 20%;"><a href="#">Eligible Stocks List for Northbound Trading (SSE)</a></td> <td style="width: 30%;"></td> </tr> <tr> <td>Stock Code</td> <td>600612 LAO FENG XIANG</td> <td>Buy <input checked="" type="radio"/> Sell <input type="radio"/></td> <td></td> </tr> <tr> <td>Quantity</td> <td>100 Shares</td> <td>Board Lot</td> <td>100 Shares</td> </tr> <tr> <td>Order Price</td> <td>CNY 36.000</td> <td>Order Type</td> <td>Limit Order <a href="#">What is it?</a></td> </tr> <tr> <td>Good Till</td> <td>2018-11-20</td> <td></td> <td></td> </tr> </table> <div style="text-align: right; margin-top: 5px;"> <input type="button" value="Cancel"/> <input type="button" value="Continue"/> </div>	Market	Shanghai A	<a href="#">Eligible Stocks List for Northbound Trading (SSE)</a>		Stock Code	600612 LAO FENG XIANG	Buy <input checked="" type="radio"/> Sell <input type="radio"/>		Quantity	100 Shares	Board Lot	100 Shares	Order Price	CNY 36.000	Order Type	Limit Order <a href="#">What is it?</a>	Good Till	2018-11-20		
Market	Shanghai A	<a href="#">Eligible Stocks List for Northbound Trading (SSE)</a>																			
Stock Code	600612 LAO FENG XIANG	Buy <input checked="" type="radio"/> Sell <input type="radio"/>																			
Quantity	100 Shares	Board Lot	100 Shares																		
Order Price	CNY 36.000	Order Type	Limit Order <a href="#">What is it?</a>																		
Good Till	2018-11-20																				

1. Select “Shanghai A” / “Shenzhen A” in Market
2. Input the stock code that you want to buy or sell
3. Select “Buy” / “Sell”,
4. Input the order quantity (Buy order must be in board lot size, while Sell order can include odd lot)
5. Input the order price (the order price cannot exceed  $\pm 10\%$  compared with the previous closing price ( $\pm 5\%$  for stocks under special treatment (ie ST and \*ST stocks) in the risk alert board))
6. Click “Continue”

**Remarks:**

Only Limit Order is available for Shanghai/Shenzhen A Order Type. For the details of Order Type, please refer to the “FAQs”

Good Till Period can only be set for today. If the order is not fully executed, cancelled or rejected on the day, the outstanding part of the order will be automatically cancelled.



<ul style="list-style-type: none"> <li>▶ Stock Trading</li> <li>▶ Order Status</li> <li>▶ Stock Portfolio</li> <li>▶ Account Information</li> <li>▶ Transaction History</li> <li>▶ Order History</li> <li>▶ Cash Movement</li> <li>▶ Securities Movement</li> <li>▶ Cost Calculator</li> <li>▶ Exchange Rates</li> </ul>	<b>Order Confirmation</b> Account Number: _____ <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Buy / Sell</td> <td style="width: 20%;">Buy</td> <td style="width: 20%;">Order Type</td> <td style="width: 40%;">Limit Order</td> </tr> <tr> <td>Stock Code</td> <td>600612</td> <td>Stock Name</td> <td>LAO FENG XIANG</td> </tr> <tr> <td>Board Lot Quantity</td> <td>100 Shares</td> <td>Order Price</td> <td>CNY 36.000</td> </tr> <tr> <td>Good Till</td> <td>2018-11-20</td> <td>Market</td> <td>Shanghai A</td> </tr> <tr> <td>Est. Amount</td> <td colspan="3">CNY 3,680.39</td> </tr> <tr> <td>Est. Charges</td> <td colspan="3">CNY 80.39 (Including Brokerage: CNY 80.00; Securities Management Fee: CNY 0.07; Handling Fee: CNY 0.18; Transfer Fee: CNY 0.14)</td> </tr> </table> <p style="font-size: small; color: red; margin-top: 5px;">By clicking the "Confirm" button, I/we confirm that I/we have read, understand and agree to be bound by the relevant <a href="#">Terms and Conditions for Buy/Sell Order and Information on Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect</a>, and I/we shall observe and comply with all laws, rules and regulations applicable to trading via Shanghai-Hong Kong Stock Connect.</p> <div style="text-align: right; margin-top: 5px;"> <input type="button" value="Cancel"/> <input type="button" value="Modify"/> <input type="button" value="Confirm"/> </div>	Buy / Sell	Buy	Order Type	Limit Order	Stock Code	600612	Stock Name	LAO FENG XIANG	Board Lot Quantity	100 Shares	Order Price	CNY 36.000	Good Till	2018-11-20	Market	Shanghai A	Est. Amount	CNY 3,680.39			Est. Charges	CNY 80.39 (Including Brokerage: CNY 80.00; Securities Management Fee: CNY 0.07; Handling Fee: CNY 0.18; Transfer Fee: CNY 0.14)		
Buy / Sell	Buy	Order Type	Limit Order																						
Stock Code	600612	Stock Name	LAO FENG XIANG																						
Board Lot Quantity	100 Shares	Order Price	CNY 36.000																						
Good Till	2018-11-20	Market	Shanghai A																						
Est. Amount	CNY 3,680.39																								
Est. Charges	CNY 80.39 (Including Brokerage: CNY 80.00; Securities Management Fee: CNY 0.07; Handling Fee: CNY 0.18; Transfer Fee: CNY 0.14)																								

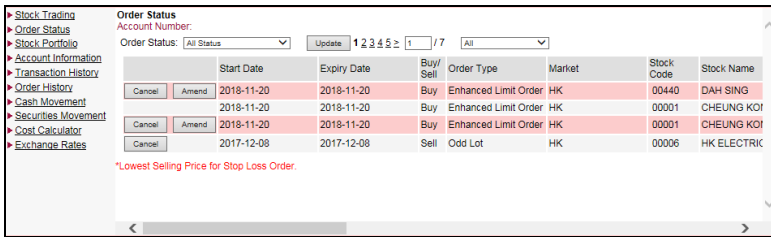
1. Check the order details
2. Click “Confirm”



<ul style="list-style-type: none"> <li>▶ Stock Trading</li> <li>▶ Order Status</li> <li>▶ Stock Portfolio</li> <li>▶ Account Information</li> <li>▶ Transaction History</li> <li>▶ Order History</li> <li>▶ Cash Movement</li> <li>▶ Securities Movement</li> <li>▶ Cost Calculator</li> <li>▶ Exchange Rates</li> </ul>	<b>Order Acknowledgement</b> Account Number: _____ <p style="margin-top: 10px;">Order received, please go to "Order Status" for status enquiry.</p> <div style="text-align: center; margin-top: 10px;"> <input type="button" value="Stock Trading"/> <input type="button" value="Order Status"/> </div>
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Order received, you may click “Stock Trading” to place another order or click “Order Status” to enquire the latest order status and Order ID

## Placing an Order Amendment Instruction

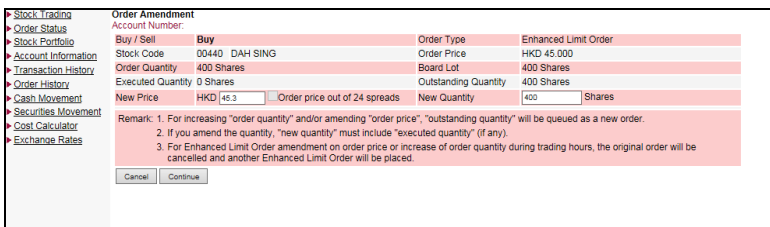


**Order Status**  
Account Number: [ ]  
Order Status: All Status [ ] Update 1 2 3 4 5 6 7 / 7 All [ ]

Start Date	Expiry Date	Buy/Sell	Order Type	Market	Stock Code	Stock Name
2018-11-20	2018-11-20	Buy	Enhanced Limit Order	HK	00440	DAH SING
2018-11-20	2018-11-20	Buy	Enhanced Limit Order	HK	00001	CHEUNG KOI
2018-11-20	2018-11-20	Buy	Enhanced Limit Order	HK	00001	CHEUNG KOI
2017-12-08	2017-12-08	Sell	Odd Lot	HK	00006	HK ELECTRIC

\*Lowest Selling Price for Stop Loss Order.

Select the order that you want to amend in “Order Status”, then click “Amend” (Stop Loss Order, non-day order and Shanghai/Shenzhen A Share order can only be cancelled but not be amended.)

**Order Amendment**  
Account Number: [ ]

Buy / Sell	Buy	Order Type	Enhanced Limit Order
Stock Code	00440 DAH SING	Order Price	HKD 45.000
Order Quantity	400 Shares	Board Lot	400 Shares
Executed Quantity	0 Shares	Outstanding Quantity	400 Shares
New Price	HKD 45.3	Order price out of 24 spreads	New Quantity 400 Shares

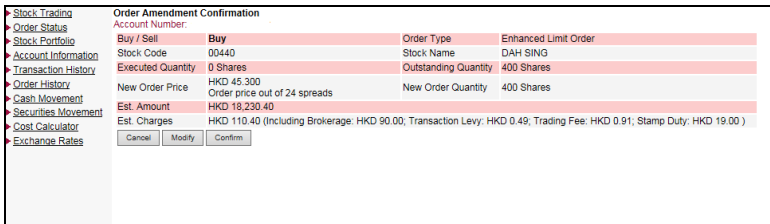
Remark: 1. For increasing "order quantity" and/or amending "order price", "outstanding quantity" will be queued as a new order.  
2. If you amend the quantity, "new quantity" must include "executed quantity" (if any).  
3. For Enhanced Limit Order amendment on order price or increase of order quantity during trading hours, the original order will be cancelled and another Enhanced Limit Order will be placed.

Buttons: Cancel, Continue

1. Input the new order price or order quantity. Customers can amend order price, order quantity and Good Till Period at the same time. (For the amendment on order quantity, the new quantity must include the executed quantity (if any).)
2. Click “Continue”

**If the amended order price is out of 24 price spreads compared with the current price or include odd lot:**

3. A reminder message will be shown upon clicking “Continue”
4. Click the relevant check box for confirmation (not applicable to odd lot)
5. Click “Continue”

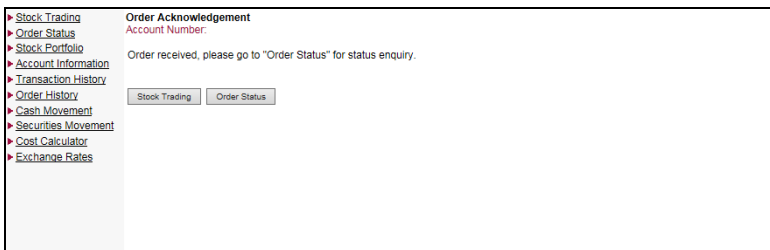
**Order Amendment Confirmation**  
Account Number: [ ]

Buy / Sell	Buy	Order Type	Enhanced Limit Order
Stock Code	00440	Stock Name	DAH SING
Executed Quantity	0 Shares	Outstanding Quantity	400 Shares
New Order Price	HKD 45.300	New Order Quantity	400 Shares
Order price out of 24 spreads			
Est. Amount	HKD 18,230.40		
Est. Charges	HKD 110.40 (Including Brokerage: HKD 90.00, Transaction Levy: HKD 0.49, Trading Fee: HKD 0.91, Stamp Duty: HKD 19.00)		

Buttons: Cancel, Modify, Confirm

1. Check the order amendment instruction details
2. Click “Confirm”

(For the amendment of the order price or increasing the order quantity regarding Enhanced Limited Order during the trading hours, the original order will be cancelled while a new Enhanced Limited Order will be placed.)

**Order Acknowledgement**  
Account Number: [ ]

Order received, please go to "Order Status" for status enquiry.

Buttons: Stock Trading, Order Status

The order amendment instruction received, you may click “Stock Trading” to place another order or click “Order Status” to enquire the latest order status and Order ID

## Placing an Order Cancellation Instruction

Stock Trading  
Order Status  
Stock Portfolio  
Account Information  
Transaction History  
Order History  
Cash Movement  
Securities Movement  
Cost Calculator  
Exchange Rates

**Order Status**  
Account Number: [ ]  
Order Status: [All Status] Update: 1 2 3 4 5 > 1 / 7 [All]

Start Date	Expiry Date	Buy/Sell	Order Type	Market	Stock Code	Stock Name
2018-11-20	2018-11-20	Buy	Enhanced Limit Order	HK	00440	DAH SING
2018-11-20	2018-11-20	Buy	Limit Order	SH A	600612	LAO FENG XI
2018-11-20	2018-11-20	Buy	Enhanced Limit Order	HK	00440	DAH SING
2018-11-20	2018-11-20	Buy	Enhanced Limit Order	HK	00440	DAH SING

\*Lowest Selling Price for Stop Loss Order.

Select the order that you want to cancel in “Order Status”, then click “Cancel”



Stock Trading  
Order Status  
Stock Portfolio  
Account Information  
Transaction History  
Order History  
Cash Movement  
Securities Movement  
Cost Calculator  
Exchange Rates

**Cancel Order**  
Account Number: [ ]

Buy / Sell	Buy	Order Type	Enhanced Limit Order
Stock Code	00440	Stock Name	DAH SING
Order Quantity	400 Shares	Order Price	HKD 45,000
Executed Quantity	0 Shares	Outstanding Quantity	400 Shares
Good Till	2018-11-20		

Cancel Confirm

1. Check the order cancellation details
2. Click “Confirm”



Stock Trading  
Order Status  
Stock Portfolio  
Account Information  
Transaction History  
Order History  
Cash Movement  
Securities Movement  
Cost Calculator  
Exchange Rates

**Order Acknowledgement**  
Account Number: [ ]  
Order received, please go to “Order Status” for status enquiry.

Stock Trading Order Status

The order cancellation instruction received, you may click “Stock Trading” to place another order or click “Order Status” to enquire the latest order status

## Account Information Enquiry

Stock Trading		Order Status		Stock Portfolio		Account Information		Transaction History		Order History		Cash Movement		Securities Movement		Cost Calculator		Exchange Rates	
<b>Stock Portfolio</b> Account Number: _____ Portfolio Ref. Value -HK share (-HKD eqv): 83,095,280.59 Portfolio Ref. Value -SH A share (CNY): _____ Update: 1 2 3 4 5 > 1 / 8 Portfolio Ref. Value -1,299,199,943.60 Portfolio Ref. Value -SZ A share (CNY): 81,977,192.80																			
Buy	Sell	Stop Loss	Stock Code	Stock Name	Market	Available Quantity	Total Quantity	CCY	Closing Price	Closing Value									
Buy	Sell	Stop Loss	00001	CHEUNG KONG Ltd 123	HK	87,777	88,050	HKD	223.000	19,635,150.00									
Buy	Sell	Stop Loss	00002	CLP HOLDINGS	HK	97,560	107,610	HKD	79.050	8,506,570.50									
Buy	Sell	Stop Loss	00003	HK & CHINA GAS	HK	73,950	74,000	HKD	15.240	1,127,760.00									
Buy	Sell	Stop Loss	00004	WHARF HOLDINGS	HK	20,800	21,800	HKD	26.600	579,880.00									
Buy	Sell	Stop Loss	00005	HSBC HOLDINGS	HK	22,000	22,000	HKD	85.450	1,879,900.00									
Buy	Sell	Stop Loss	00006	HK ELECTRIC	HK	51,000	51,040	HKD	97.800	4,991,712.00									
Buy	Sell	Stop Loss	00008	PCCW	HK	9,000	9,000	HKD	4.600	41,400.00									

Your account balance and the stock portfolio can be viewed in the “Stock Portfolio” and “Account Information” sections.

## Order Status and Transaction History

Stock Trading		Order Status		Stock Portfolio		Account Information		Transaction History		Order History		Cash Movement		Securities Movement		Cost Calculator		Exchange Rates	
<b>Order History</b> (Please Select Order Input Date) Account Number: _____ From: 2018-11-10 To: 2018-11-20 Inquiry: Order Status: All Status 1 / 1																			
Start Date	Expiry Date	Buy/Sell	Order Type	Market	Stock Code	Stock Name	Order Quantity	CCY	Order Price*										
2018-11-13	2018-11-13	Buy	At-auction Limit Order	HK	00011	HANG SENG BANK	100	HKD	179.0										
2018-11-13	2018-11-13	Buy	At-auction Limit Order	HK	09080	NAS HOLDINGS	8,000	HKD	0.1										
2018-11-12	2018-11-12	Buy	Enhanced Limit Order	HK	87200	L&I TESTING 1	10,000	HKD	0.1										
2018-11-12	2018-11-12	Sell	Enhanced Limit Order	HK	87200	L&I TESTING 1	10,000	HKD	0.1										
2018-11-12	2018-11-12	Buy	Enhanced Limit Order	HK	01388	ICBC	1,000	HKD	5.1										

\*Lowest Selling Price for Stop Loss Order.

After placing the orders, you can check the order details and the order status via the below 3 functions:

“Order Status”: Display the same day trading orders

“Transaction History”: Allow checking the matched orders of the past 3 months

“Order History”: Allow checking of all the order instruction of the recent 1month, including the unmatched or cancelled orders.